SUBJECT: Recordkeeping Requirements for Railroad Facilities

AFFECTED CODES/DIRECTIVES: OAR 437-01-701 through 437-01-750

PURPOSE: To establish enforcement policy with respect to the overlapping recordkeeping requirements of OR-OSHA and the Federal Railroad Administration (FRA).

BACKGROUND: Railroads are required by 49 CFR Part 225 to maintain injury/illness records, but are not subject to OSHA recordkeeping requirements. The main features of FRA recordkeeping requirements include:

A. Maintaining a log of occupational deaths, injuries, and illnesses on Form FRA F 6180.55a (similar to OSHA 100 or 200).

B. Maintaining a supplementary record of each recordable record or illness (similar to OSHA 101 or insurance claim form).

C. Compiling an annual summary on Form FRA F 6180.45 and posting it before February 1 for at least 30 days (similar to OSHA 102 or 200).

D. Maintaining the log at each railroad establishment, including an operating division, general office, and major installation such as locomotive or our repair or construction facility.

E. Producing the required records for inspection by an authorized FRA representative.
ACTION:

A. During the opening conference of an inspection at a railroad facility, the compliance officer shall request to examine the injury/illness records required by FRA.

B. If the injury/illness records are nonexistent or incomplete, the compliance officer shall advise the employer to contact the FRA for information and instructions on recordkeeping.

C. If the employer refuses to allow the compliance officer to examine the injury/illness records, the compliance officer shall assess the importance of the records to the inspection. If it is determined that the records are essential to an inspection, the records shall be requested under the Freedom of Information Act from the Office of Chief Counsel, Federal Railroad Administration, 400 7th St SW, Washington DC 20590.

D. No citation will be issued to railroad facilities for failure to comply with FRA recordkeeping requirements.

EFFECTIVE DATE:

This directive is effective immediately and will remain in effect until cancelled or superseded.