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Violations and Penalties – Penalty Adjustment Calculations

FOR THIS TYPE OF Adjustment	OREGON OSHA WILL CONSIDER	BASE PENALTY ADJUSTED AS FOLLOWS
Good faith effort (<i>Applies only to all first</i> <i>instance violations</i>)	 Existence of an overall safety and health program is evident. Safety and health policies are effectively communicated to employees. Efforts put forth before an inspection to promote safety and health in the workplace. Demonstrations of employee involvement in the safety and health program are apparent. Management's commitment and level of involvement to safety and health is apparent. Analysis of worksite hazards conducted. 	 Good: -20% reduction Average: no adjustment Poor: +20% increase
Size	• 1-10 employees statewide	 -75% reduction
(Applies only to all first	• 11-25	● -60% reduction
instance violations)	• 26-90	 -40% reduction
	● 91-130	 -30% reduction
	• 131-175	 -20% reduction
	• 176-250	 -10% reduction
	• 251 or more	 No size reduction
History (Applies only to all first instance violations)	 Trends in injuries and illnesses (types of workers' compensation claims) or enforcement history (previous three years). Injury and illness DART rate for company (below statewide average). Mod rate (experience modification rating) is around 1. 	 -10% reduction for positive history No reduction for average history +10% increase for negative history
Immediate correction (Applies only to all first instance violations)	 Immediate correction (abatement) of violations identified during the inspection, provided that such corrective action is permanent and substantial and not temporary or superficial. 	 -10% reduction



Violations and Penalties – First Instance Violations

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NSTANCE PENALTY TABLE

Other than serious-rated violation

Drobobility	Severity
Probability	Other than serious
Low	\$0
High	\$300

Serious-rated violation

Drobobility	Severity			
Probability	Serious Physical Harm	Death		
Low	\$3,458	\$11,528		
Medium	\$6,916	\$13,833		
High	\$9,222	\$16,138		

EMPLOYER SIZE ADJUSTMENT TABLE				
Number of employees	Adjustment reduction			
1-10	-75%			
11-25	-60%			
26-90	-40%			
91-130	-30%			
131-175	-20%			
176-250	-10%			
251 or more	No size adjustment			

Size adjustments are based on statewide peak employment and only apply to first instance violations.

FIRST INSTANCE SERIOUS PENALTY TABLE To be used to calculate penalty adjustments							
	Penalty in dollars						
Adjustment	Low Serious	Medium serious	High Serious	Low Death	Medium Death	High Death	
30%	\$4,495	\$8,990	\$11,989	\$14,987	\$16,138**	\$16,138**	
20%	\$4,149	\$8,299	\$11,066	\$13,834	\$16,138**	\$16,138**	
10%	\$3,804	\$7,607	\$10,144	\$12,681	\$15,217	\$16,138**	
Initial Penalty	\$3,458	\$6,916	\$9,222	\$11,528	\$13,833	\$16,138	
-10%	\$3,112	\$6,224	\$8,300	\$10,375	\$12,450	\$14,524	
-20%	\$2,766	\$5,533	\$7,378	\$9,223	\$11,067	\$12,910	
-30%	\$2,420	\$4,841	\$6,455	\$8,070	\$9,683	\$11,296	
-40%	\$2,075	\$4,149	\$5,533	\$6,917	\$8,300	\$9,683	
-50%	\$1,729	\$3,458	\$4,611	\$5,764	\$6,917	\$8,069	
-60%	\$1,383	\$2,766	\$3,689	\$4,611	\$5,533	\$6,455	
-70%	\$1,153*	\$2,075	\$2,767	\$3,458	\$4,150	\$4,841	
-75%	\$1,153*	\$1,729	\$2,305	\$2,882	\$3,458	\$4,034	
-80%	\$1,153*	\$1,383	\$1,844	\$2,306	\$2,767	\$3,228	
-85%	\$1,153*	\$1,153*	\$1,383	\$1,729	\$2,075	\$2,421	

\$1,153*

\$1,153*

\$1,153*

\$1,153*

\$1,383

\$1,153*

\$1,614

\$1,153*

* The minimum-adjusted penalty for a serious-rated violation is \$1,153.

\$1,153*

\$1,153*

-90%

-95%

** The maximum-adjusted penalty amount for a serious-rated violation is \$16,138

\$1,153*

\$1,153*



Violations and Penalties – Repeat Violations

REPEAT TABLE					
Repeat occurrence	Other than serious	First Instance serious initial penalty			
1 st repeat	\$11,528	x 4 base penalty			
2 nd repeat	\$11,528	x 6 base penalty			
3 rd repeat	\$11,528	x 8 base penalty			
Additional repeats	Discretion of administrator				

Other than serious- rated violation		Serious-rated violation						
	Severity		Severity					
Probability Other than serious		Probability	Serious Physical Harm			Death		
	-		First	Second	Third	First	Second	Third
		Low	\$13,831	\$20,747	\$27,663	\$46,113	\$69,169	\$92,226
All	\$11,528*	Medium	\$27,663	\$41,494	\$55,326	\$55,334	\$83,001	\$110,668

REPEAT 51 OR GREATER EMPLOYEES

High

Repeat violation: An employer's second or subsequent violation involving a substantially similar violation as the earlier violation or violations, cited within the previous three years, will be cited as a repeat violation. A civil penalty reduction based on size will be applied to employers with 50 or fewer employees. A reduction of \$7,230 will be applied to civil penalties for repeat violations as shown in the table below.

\$55,332

\$73,776

\$64,551

\$96,826

\$129,101

\$36,888

REPEAT 50 OR FEWER EMPLOYEES								
Other than so rated violation		Serious-rated violation						
	Severity	erity Severity						
Probability	Other	Probability	Serious Physical Harm			Death		
	than serious		First	Second	Third	First	Second	Third
		Low	\$11,528	\$13,517	\$20,433	\$38,883	\$61,940	\$84,996
All \$11,528*	\$11,528*	Medium	\$20,433	\$34,265	\$48,096	\$48,104	\$75,771	\$103,438
	High	\$29,658	\$48,102	\$66,546	\$57,321	\$89,596	\$121,872	

* The minimum-adjusted penalty for any repeat violation is \$11,528.



Violations and Penalties – Caused or Contributed to a Work-Related Death and Willful Violations

WILLFUL TABLE

Other than serious-rated violation				
First	\$11,528	\$11,528		
Additional repeats	Discretion of Administrator			
Serious-rated violation				
	Severity			
Probability	Serious Physical Harm	Death		
Low	\$25,820	\$77,461		
Medium	\$36,148	\$103,281		
High	\$51,641	\$134,265		

* Minimum willful penalty of \$11,528

Willful violation: A violation that is committed knowingly by an employer or supervisory employee who, having a free will or choice, intentionally or knowingly disobeys or recklessly disregards the requirements of a statute, regulation, rule, standard, or order.

WILLFUL THAT CAUSED OR CONTRIBUTED TO A WORK-RELATED FATALITY

Other than serious-rated violation					
All	\$51,641				
Serious-rat	Serious-rated violation				
Drobobility	Severity				
Probability	Serious Physical Harm	Death			
Low	\$67,133	\$175,578			
Medium	\$98,117	\$201,398			
High	\$129,101	\$253,038			

REPEAT THAT CAUSED OR CONTRIBUTED TO A WORK-RELATED FATALITY

Other than serious-rated violation

All	\$51,641
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Serious-rated violation			
Probability	Severity		
	Serious Physical Harm	Death	
Low	\$56,805	\$165,250	
Medium	\$87,789	\$191,070	
High	\$118,773	\$242,710	

SERIOUS THAT CAUSED OR CONTRIBUTED TO A WORK-RELATED FATALITY

Drobobility	Severity		
Probability	Serious Physical Harm	Death	
Low	\$20,656	\$30,984	
Medium	\$23,755	\$38,214	
High	\$26,853	\$44,411	

Caused or contributed to a work-related fatality violation:

The workplace death of an employee that was attributed to a violation or in which the violation was a related factor, as determined by the compliance officer.

For complete rule requirements, refer to OAR 437-001-0135 through 437-001-0203.

440-5632 (11/23/COM)

